

**Cherwell District Council**  
**Accounts, Audit and Risk Committee**

**30 May 2018**

<b>Internal Audit – Annual Plan 2018/19</b>
---

**Report of the Executive Director, Finance & Governance**

This report is public

**Purpose of report**

To receive CW Audit Services annual plan for 2018/19.

**1.0 Recommendations**

The meeting is recommended to:

- 1.1 Consider and approve the contents of the 2018/19 annual internal audit plan from CW Audit Services.

**2.0 Introduction**

- 2.1 Internal Audit undertakes a programme of work each year which is produced in consultation with senior management. The plan will be monitored for progress during the year and regular reports and updates will be provided to the Committee.

**3.0 Report Details**

- 3.1 The plan covers the work of both Councils and has been prepared using a risk based approach taking into consideration a number of areas:

- Leadership Risk Register for both Councils
- Review of Business Plans
- Assessment of risks arising from 2017/18 work
- Assessment of external audit reports to relevant committees
- Discussions with Chief Executive and Directors

- 3.2 The plan will be regularly reviewed and updated where required following consultation with relevant officers and members.

## **4.0 Conclusion and Reasons for Recommendations**

- 4.1 The Annual plan sets out the work programme for Internal Audit 2018/19 and provides the framework for assessing the internal control framework in place. This work programme will assist the Committee is gaining further assurance on the Councils internal controls.

## **5.0 Consultation**

- 5.1 None

## **6.0 Alternative Options and Reasons for Rejection**

- 6.1 The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: Members may choose not to approve the plan; however, this would delay the start of any assurance work being undertaken.

## **7.0 Implications**

### **Financial and Resource Implications**

- 7.1 There are no financial implications arising directly from this report.

Comments checked by:

Kelly Watson, Assistant Director, Finance and Procurement, 0300 003 0206

[Kelly.watson@cherwellandsouthnorthants.gov.uk](mailto:Kelly.watson@cherwellandsouthnorthants.gov.uk)

### **Legal Implications**

- 7.2 There are no legal implications arising directly from this report.

Comments checked by:

James Doble, Assistant Director, Law and Governance, 01295 221587

[james.doble@cherwellandsouthnorthants.gov.uk](mailto:james.doble@cherwellandsouthnorthants.gov.uk)

### **Risk Management Implications**

- 7.3 There are no risk management issues arising directly from this report

Comments checked by:

James Doble, Assistant Director, Law and Governance, 01295 221587

[james.doble@cherwellandsouthnorthants.gov.uk](mailto:james.doble@cherwellandsouthnorthants.gov.uk)

## 8.0 Decision Information

### Wards Affected

All wards are affected

### Links to Corporate Plan and Policy Framework

All corporate plan themes.

### Lead Councillor

None

### Document Information

Appendix No	Title
Appendix 1	CW Audit Services Annual Plan and Charter 2018/19
Background Papers	
None	
Report Author	Adele Taylor, Executive Director, Finance & Governance and S151 Officer (Interim)
Contact Information	<a href="mailto:adele.taylor@Cherwellandsouthnorthants.gov.uk">adele.taylor@Cherwellandsouthnorthants.gov.uk</a> 01295 221634